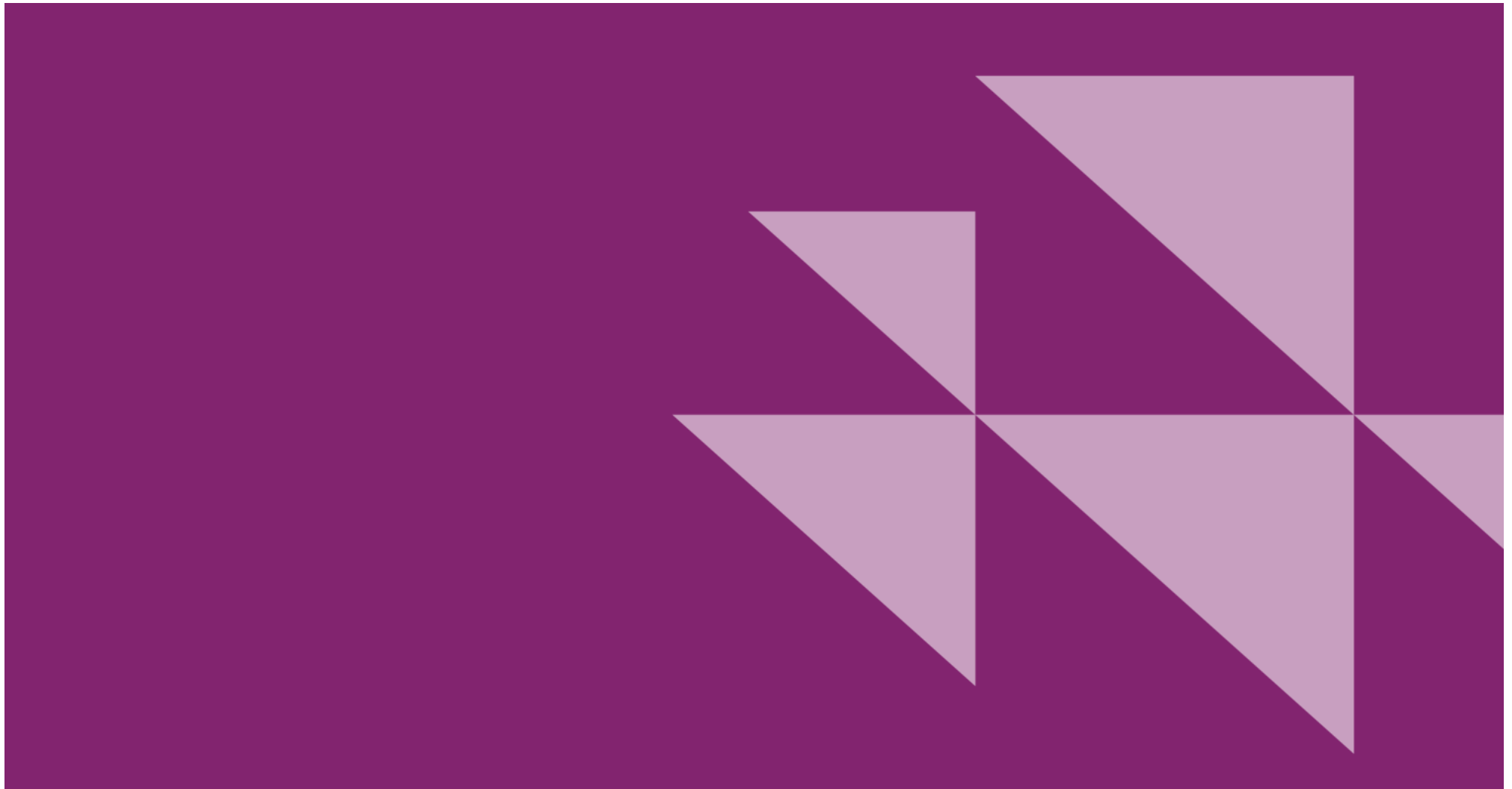


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## CDP Supplier Engagement Rating Methodology 2019

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CDP Climate Change 2019



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# CDP 2019 Supplier Engagement Rating methodology

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## Introduction

The supplier engagement rating methodology provides a score which assesses supplier action as reported by a company's CDP response. The score assesses the level of detail and comprehensiveness of the content, as well as the company's awareness of climate change issues, management methods and progress towards action taken on climate change as reported in the response.

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## Essential reading

This methodology should be used in conjunction with the CDP climate change questionnaire guidance 2019, and the "Introduction to CDP scoring 2019" document, as well as the Supplier Engagement Rating Introduction. It is strongly recommended to familiarize yourself with the contents of both the 2019 guidance, the 2019 Scoring introduction document and the 2019 Supplier Engagement Rating document before using the scoring methodology. Please note that the "2019 Supplier Engagement Rating introduction" document contains information essential for the understanding and correct use of this scoring methodology.

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## Status of this methodology

This methodology is intended to provide guidance to companies on how their response will be scored. In common with other scoring processes of this type, we expect to make future adjustments in light of the application of the methodology to the responses received.

*"CDP reserves the right to remove, amend or alter scoring criteria as displayed in the scoring methodology at any time during the disclosure cycle, without notice or notification. Such changes will only be made in exceptional circumstances when necessary to ensure that the methodology remains fair, impartial and effective for the purpose of assessing progress towards environmental stewardship."*

## CDP Climate Change Scoring Methodology 2019 - Version Control

Version number	Release/revision date	Revision summary
1.0	25/03/2019	First version

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## Governance

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### Employee incentives

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**(C1.3) Do you provide incentives for the management of climate-related issues, including the attainment of targets?**

**Response options**

Select one of the following options:

- Yes
- No

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## C1.3 - Scoring criteria

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*Supplier Engagement Rating criteria*

Question not scored for Supplier Engagement Rating

*Point Allocation*

Numerator	Denominator
0	0

**(C1.3a) Provide further details on the incentives provided for the management of climate-related issues.**

**Question dependencies**

This question only appears if you select "Yes" in response to C1.3.

**Response options**

Please complete the following table. You are able to add rows by using the "Add Row" button at the bottom of the table.

Who is entitled to benefit from these incentives?	Types of incentives	Activity incentivized	Comment
<p>Select from:</p> <ul style="list-style-type: none"> <li>● Board Chair</li> <li>● Board/Executive board</li> <li>● Director on board</li> <li>● Corporate executive team</li> <li>● Chief Executive Officer (CEO)</li> <li>● Chief Financial Officer (CFO)</li> <li>● Chief Operating Officer (COO)</li> <li>● Chief Procurement Officer (CPO)</li> <li>● Chief Risk Officer (CRO)</li> <li>● Chief Sustainability Officer (CSO)</li> <li>● Other C-Suite Officer</li> <li>● President</li> <li>● Executive officer</li> <li>● Management group</li> <li>● Business unit manager</li> <li>● Energy manager</li> <li>● Environmental, health, and safety manager</li> <li>● Environment/Sustainability manager</li> <li>● Facilities manager</li> <li>● Process operation manager</li> <li>● Procurement manager</li> <li>● Public affairs manager</li> <li>● Risk manager</li> <li>● Buyers/purchasers</li> <li>● All employees</li> <li>● There are no incentives provided for the management of climate-related issues</li> <li>● Other, please specify</li> </ul>	<p>Select from:</p> <ul style="list-style-type: none"> <li>● Monetary reward</li> <li>● Recognition (non-monetary)</li> <li>● Other non-monetary reward</li> </ul>	<p>Select from:</p> <ul style="list-style-type: none"> <li>● Emissions reduction project</li> <li>● Emissions reduction target</li> <li>● Energy reduction project</li> <li>● Energy reduction target</li> <li>● Efficiency project</li> <li>● Efficiency target</li> <li>● Behavior change related indicator</li> <li>● Environmental criteria included in purchases</li> <li>● Supply chain engagement</li> <li>● Other, please specify</li> </ul>	<p>Text field[maximum 2,400 characters]</p>

[Add Row]

## C1.3a - Scoring criteria

### Supplier Engagement Rating criteria

Points to be awarded:

#### A.

i) 'Buyers/purchasers' OR 'All employees' selected in 'Who is entitled to benefit from these incentives' AND 'Monetary reward' in 'Types of incentives' - 2 points

OR

ii) 'Buyers/purchasers' OR 'All employees' selected in 'Who is entitled to benefit from these incentives' AND 'Recognition (non-monetary)' or 'Other non-monetary reward' in 'Types of incentives' - 1 point

#### B.

iii) 'Chief Procurement Officer (CPO)' or 'Chief Financial Officer (CFO)' selected in 'Who is entitled to benefit from these incentives' AND 'Monetary reward' in 'Types of incentives' - 2 points

OR

iv) 'Chief Procurement Officer (CPO)' or 'Chief Financial Officer (CFO)' selected in 'Who is entitled to benefit from these incentives' AND 'Recognition (non-monetary)' or 'Other non-monetary reward' in 'Types of incentives' - 1 point

#### C.

v) 'Environmental criteria included in purchases' or 'Supply chain engagement' selected in 'Activity incentivized' AND 'Monetary reward' in 'Types of incentives' - 2 points

OR

vi) 'Environmental criteria included in purchases' or 'Supply chain engagement' selected in 'Activity incentivized' AND 'Recognition (non-monetary)' or 'Other non-monetary reward' in 'Types of incentives' - 1 point

#### D.

'No' selected in C1.3 – 0 points

C1.3 left blank – 0 points

Please note that selecting the option 'Other, please specify' will not be considered for scoring.

### Point Allocation

Disclosure numerator	Disclosure denominator
6	6

## Business strategy

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**(C3.1) Are climate-related issues integrated into your business strategy?**

### Response options

Select one of the following options:

- Yes
- No

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## C3.1 - Scoring criteria

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### *Supplier Engagement Rating criteria*

Yes - 2 points;  
No - 0 points.

### *Point Allocation*

Numerator	Denominator
2	2

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## Targets

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### Targets

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#### Targets Scoring Notes

Please note that:

- Where an organization has both absolute and intensity targets, all targets will be scored and the question for which the score which has the most positive impact on the company's score will be recorded (either the question relating to an Absolute target or the question relating to an Intensity target).

#### **(C4.1) Did you have an emissions target that was active in the reporting year? Response options**

Select one of the following options:

- Absolute target
- Intensity target
- Both absolute and intensity targets
- No target

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## C4.1 - Scoring criteria

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### *Supplier Engagement Rating criteria*

Question not scored for Supplier Engagement Rating

### *Point Allocation*

Disclosure numerator	Disclosure denominator
0	0

**(C4.1a) Provide details of your absolute emissions target(s) and progress made against those targets.**

**Question dependencies**

This question only appears if you select “Absolute target” or “Both absolute and intensity targets” in response to C4.1.

**Response options**

Please complete the following table. The table is displayed over several rows for readability. You are able to add rows by using the “Add Row” button at the bottom of the table.

Target reference number	Scope	% emissions in Scope	Targeted % reduction from base year	Base year	Start year	Base year emissions covered by target (metric tons CO2e)
Select from: Abs1-Abs100	Select from drop-down options below	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Numerical field [enter a number between 1900- 2019]	Numerical field [enter a number between 1900- 2019]	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 2 decimal places and no commas]

Target year	Is this a science-based target?	% target achieved	Target status	Please explain
Numerical field [enter a whole number between 2000- 2100]	Select from drop-down options below	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Select from: <ul style="list-style-type: none"> <li>• New</li> <li>• <b>Underway</b></li> <li>• Achieved</li> <li>• Expired</li> <li>• Revised</li> <li>• Replaced</li> <li>• Retired</li> </ul>	Text field [maximum 2,400 characters]

[Add Row]

**Scope drop-down options:**

Select one of the following options:

- Scope 1
- Scope 2 (location-based)
- Scope 2 (market-based)
- Scope 1+2 (location-based)
- Scope 1+2 (market-based)
- Scope 1+2 (location-based) +3 (upstream)
- Scope 1+2 (location-based) +3 (downstream)
- Scope 1+2 (location-based) +3 (upstream & downstream)
- Scope 1+2 (market-based) +3 (upstream)
- Scope 1+2 (market-based) +3 (downstream)
- Scope 1+2 (market-based) +3 (upstream & downstream)
- Scope 3 (upstream)
- Scope 3 (downstream)
- Scope 3 (upstream & downstream)
- Scope 3: Purchased goods and services



- Scope 3: Capital goods
- Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2)
- Scope 3: Upstream transportation and distribution
- Scope 3: Waste generated in operations
- Scope 3: Business travel
- Scope 3: Employee commuting
- Scope 3: Upstream leased assets
- Scope 3: Investments
- Scope 3: Downstream transportation and distribution
- Scope 3: Processing of sold products
- Scope 3: Use of sold products
- Scope 3: End-of-life treatment of sold products
- Scope 3: Downstream leased assets
- Scope 3: Franchises
- Other, please specify

**Is this a science-based target? drop-down options:**

Select one of the following options:

- Yes, this target has been approved as science-based by the Science-Based Targets initiative
- Yes, we consider this a science-based target, but this target has not been approved as science-based by the Science-Based Targets initiative
- No, but we are reporting another target that is science-based
- No, but we anticipate setting one in the next 2 years
- No, and we do not anticipate setting one in the next 2 years

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## C4.1a - Scoring criteria

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### *Supplier Engagement Rating criteria*

The following columns must all be completed to be eligible for points:

- i) Scope
- ii) Target year

If 'Scope' is 'Scope 3: Purchased goods and services' or entails Scope III upstream (i.e. Scope 1 + 2 (market or location based) + 3 (upstream)) - 2 points

If 'Scope' is any upstream category (including 'Capital goods', 'Fuel- and energy-related activities (not included in Scopes 1 or 2)', 'Upstream transportation & distribution', 'Waste generated in operations', 'Business travel', 'Employee commuting' OR 'Upstream leased assets') - 1 point

If no targets are reported in C4.1 – 0 points

If C4.1 is left blank – 0 points

Please note that selecting the option 'Other, please specify' will not be considered for scoring.

### *Point Allocation*

Numerator	Denominator
2	2

**(C4.1b) Provide details of your emissions intensity target(s) and progress made against those target(s).**

**Question dependencies**

This question only appears if you select “Intensity target” or “Both absolute and intensity target” in response to C4.1.

**Response options**

Please complete the following table. The table is displayed over several rows for readability. You are able to add rows by using the “Add Row” button at the bottom of the table.

Target reference number	Scope	% emissions in Scope	Targeted % reduction from baseline year	Metric	Base year	Start year
Select from: Int1-Int100	Select from drop-down options below	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Select from drop-down options below	Numerical field [enter a whole number between 1900- 2018]	Numerical field [enter a whole number between 1900- 2018]

Normalized baseline year emissions covered by target (metric tons CO2e)	Target year	Is this a science-based target?	% of target achieved	Target status	Please explain	% change anticipated in absolute Scope 1+2 emissions	% change anticipated in absolute Scope 3 emission
Numerical field [enter a number from 0-999,999,999,999 using a maximum of 2 decimal places and no commas]	Numerical field [enter a whole number between 2000- 2100]	Select from drop-down options below	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Select from: <ul style="list-style-type: none"> <li>• New</li> <li>• Underway</li> <li>• Achieved</li> <li>• Expired</li> <li>• Revised</li> <li>• Replaced</li> <li>• Retired</li> </ul>	Text field [maximum 2,400 characters]	Percentage field [enter a percentage from -999 - 999 using a maximum of 2 decimal places]	Percentage field [enter a percentage from -999 - 999 using a maximum of 2 decimal places]

[Add Row]

*Scope drop-down (column 2)*

Select one of the following options:

<ul style="list-style-type: none"> <li>• Scope 1</li> <li>• Scope 2 (location-based)</li> <li>• Scope 2 (market-based)</li> <li>• Scope 1+2 (location-based)</li> <li>• Scope 1+2 (market-based)</li> <li>• Scope 1+2 (location-based) +3 (upstream)</li> <li>• Scope 1+2 (location-based) +3 (downstream)</li> <li>• Scope 1+2 (location-based) +3 (upstream &amp; downstream)</li> <li>• Scope 1+2 (market-based) +3 (upstream)</li> <li>• Scope 1+2 (market-based) +3 (downstream)</li> <li>• Scope 1+2 (market-based) +3 (upstream &amp; downstream)</li> <li>• Scope 3 (upstream)</li> <li>• Scope 3 (downstream)</li> <li>• Scope 3 (upstream &amp; downstream)</li> <li>• Scope 3: Purchased goods and services</li> <li>• Scope 3: Capital goods</li> <li>• Scope 3: Fuel and energy-related activities (not included in Scopes 1 or 2)</li> </ul>	<ul style="list-style-type: none"> <li>• Scope 3: Upstream transportation and distribution</li> <li>• Scope 3: Waste generated in operations</li> <li>• Scope 3: Business travel</li> <li>• Scope 3: Employee commuting</li> <li>• Scope 3: Upstream leased assets</li> <li>• Scope 3: Investments</li> <li>• Scope 3: Downstream transportation and distribution</li> <li>• Scope 3: Processing of sold products</li> <li>• Scope 3: Use of sold products</li> <li>• Scope 3: End-of-life treatment of sold products</li> <li>• Scope 3: Downstream leased assets</li> <li>• Scope 3: Franchises</li> <li>• Other, please specify</li> </ul>
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*Metric drop-down options (column 5)*

Select one of the following options from the drop-down menu below. Those with an asterisk (\*) are the metrics that can be evaluated against science-based target setting methods (see Technical Note on Science-Based Targets):

<ul style="list-style-type: none"> <li>• Grams CO2e per revenue passenger kilometer*</li> <li>• Metric tons CO2e per USD(\$) value-added*</li> <li>• Metric tons CO2e per square meter*</li> <li>• Metric tons CO2e per metric ton of aluminum*</li> <li>• Metric tons CO2e per metric ton of steel*</li> <li>• Metric tons CO2e per metric ton of cement*</li> <li>• Metric tons CO2e per metric ton of cardboard*</li> <li>• Grams CO2e per kilometer*</li> <li>• Metric tons CO2e per unit revenue</li> <li>• Metric tons CO2e per unit FTE employee</li> <li>• Metric tons CO2e per unit hour worked</li> <li>• Metric tons CO2e per metric ton of product</li> <li>• Metric tons of CO2e per liter of product</li> <li>• Metric tons CO2e per unit of production</li> </ul>	<ul style="list-style-type: none"> <li>• Metric tons CO2e per unit of service provided</li> <li>• Metric tons CO2e per square foot*</li> <li>• Metric tons CO2e per kilometer</li> <li>• Metric tons CO2e per passenger kilometer*</li> <li>• Metric tons CO2e per megawatt hour (MWh)*</li> <li>• Metric tons CO2e per barrel of oil equivalent (BOE)</li> <li>• Metric tons CO2e per vehicle produced</li> <li>• Metric tons CO2e per metric ton of ore processed</li> <li>• Metric tons CO2e per ounce of gold</li> <li>• Metric tons CO2e per ounce of platinum</li> <li>• Metric tons of CO2e per metric ton of aggregate</li> <li>• Metric tons of CO2e per billion (currency) funds under management</li> <li>• Other, please specify</li> </ul>
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*Is this a science-based target? drop-down options (column 10)*

Select one of the following options:

<ul style="list-style-type: none"> <li>• Yes, this target has been approved as science-based by the Science Based Targets initiative</li> <li>• Yes, we consider this a science-based target, but this target has not been approved as science-based by the Science Based Targets initiative</li> </ul>	<ul style="list-style-type: none"> <li>• No, but we are reporting another target that is science-based</li> <li>• No, but we anticipate setting one in the next 2 years</li> <li>• No, and we do not anticipate setting one in the next 2 years</li> </ul>
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## C4.1b - Scoring criteria

### Supplier Engagement Rating criteria

The following columns must all be completed to be eligible for points:

- i) scope;
- ii) target year;

If 'Scope' is 'Scope 3: Purchased goods and services' or entails Scope III upstream (i.e. Scope 1 + 2 (market or location based) + 3 (upstream)) - 2 points

If 'Scope' is any upstream category (including 'Capital goods', 'Fuel- and energy-related activities (not included in Scopes 1 or 2)', 'Upstream transportation & distribution', 'Waste generated in operations', 'Business travel', 'Employee commuting' OR 'Upstream leased assets') - 1 point

Please note that selecting the option 'Other, please specify' will not be considered for scoring.

### Point Allocation

Disclosure numerator	Disclosure denominator
2	2

### (C4.2) Provide details of other key climate-related targets not already reported in question C4.1/a/b.

#### Response options

Please complete the following table. The table is displayed over several rows for readability. You are able to add rows by using the "Add Row" button at the bottom of the table.

Target	KPI – Metric numerator	KPI – Metric denominator (intensity targets only)	Base year	Start year	Target year
Select from: <ul style="list-style-type: none"> <li>● Energy productivity</li> <li>● Renewable energy consumption</li> <li>● Renewable energy production</li> <li>● Renewable fuel</li> <li>● Waste</li> <li>● Zero/low-carbon vehicle</li> <li>● Energy usage</li> <li>● Land use</li> <li>● Methane reduction target</li> <li>● Engagement with suppliers</li> <li>● R&amp;D investments</li> <li>● Other, please specify</li> </ul>	Text field [maximum 200 characters]	Text field [maximum 200 characters]	Numerical field [enter a number between 1900- 2019]	Numerical field [enter a number between 1900- 2019]	Numerical field [enter a whole number between 2000- 2100]

KPI in baseline year	KPI in target year	% achieved in reporting year	Target Status	Please explain	Part of emissions target	Is this target part of an overarching initiative?
Numerical field [enter a number from 0 to 999,999,999,999 using up to 5 decimal places and no commas]	Numerical field [enter a number from 0 to 999,999,999,999 using up to 5 decimal places and no commas]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Select from: <ul style="list-style-type: none"> <li>Underway</li> <li>Retired</li> <li>Expired</li> <li>New</li> <li>Replaced</li> </ul>	Text field [maximum 2,400 characters]	Text field [maximum 2,400 characters] [emissions reduction target ID]	Select from: <ul style="list-style-type: none"> <li>RE100</li> <li>EP100</li> <li>EV100</li> <li>Below50 – sustainable fuels</li> <li>Science-based targets initiative</li> <li>Reduce short-lived climate pollutants</li> <li>Remove deforestation</li> <li>Low-Carbon Technology Partnerships initiative</li> <li>No, it's not part of an overarching initiative</li> <li>Other, please specify</li> </ul>

[Add Row]

## C4.2 - Scoring criteria

### Supplier Engagement Rating criteria

The following columns must all be completed to be eligible for points:

- i) Target;
- ii) target year;
- iii) KPI in target year.

If 'Target' is 'Engagement with suppliers' - 2 points;

Please note that selecting the option 'Other, please specify' will not be considered for scoring.

### Point Allocation

Disclosure numerator	Disclosure denominator
2	2

## Scope 3 emissions

### Scope 3 emissions data

(C6.5) Account for your organization's Scope 3 emissions, disclosing and explaining any exclusions.

#### Response options

Please complete the following table:

Sources of Scope 3 emissions	Evaluation status	Metric tons CO <sub>2</sub> e	Emissions calculation methodology	Percentage of emissions calculated using data obtained from suppliers or value chain partners	Explanation
Purchased goods and services	Select from: <ul style="list-style-type: none"> <li>• Relevant, calculated</li> <li>• Relevant, not yet calculated</li> <li>• Not relevant, calculated</li> <li>• Not relevant, explanation provided</li> <li>• Not evaluated</li> </ul>	Numerical field [enter a number from 0-999,999,999,999 using a maximum of 2 decimal places and no commas]	Text field [maximum 2,400 characters]	Numerical field [enter a number from 0-100 using a maximum of 2 decimal places and no commas]	Text field [maximum 2,400 characters]
Capital goods					
Fuel-and-energy-related activities (not included in Scope 1 or 2)					
Upstream transportation and distribution					
Waste generated in operations					
Business travel					
Employee commuting					
Upstream leased assets					
Downstream transportation and distribution					
Processing of sold products					
Use of sold products					
End of life treatment of sold products					
Downstream leased assets					
Franchises					
Investments					
Other (upstream)					
Other (downstream)					

## C6.5 - Scoring criteria

### *Supplier Engagement Rating criteria*

The final score for this question is the sum of the individual scores for the first 8 'upstream' rows. Please note the rows 'Other (upstream)' and 'Other (downstream)' are optional - please see the guidance document for further information.

i) Row 1 'Purchased goods and services':

A) Where 'Evaluation status' is:

- 'Relevant, Calculated' AND both 'Metric tonnes CO2e' and 'Emissions calculation methodology' contain data - 0.5 points

B) Otherwise - 0 points

ii) Rows 2 - 8:

A) Where 'Evaluation status' is :

- 'Relevant, calculated' AND both 'Metric tonnes CO2e' and 'Emissions calculation methodology' contain data – 0.5 points

- 'Not relevant, calculated' AND both 'Metric tonnes CO2e' and 'Emissions calculation methodology' contain data - 0.5 points

- 'Not relevant, explanation provided' AND 'Explanation' contains data - 0.5 points

B) Where 'Evaluation status' is:

- 'Relevant, not yet calculated' OR 'Not evaluated' - 0.1 points

C) Otherwise - 0 points

### *Point Allocation*

Numerator	Denominator
4	4



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## Supplier Engagement

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### Value chain engagement

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#### (C12.1) Do you engage with your value chain on climate-related issues?

##### Response options

Select all that apply from the following options:

- Yes, our suppliers
- Yes, our customers
- Yes, other partners in the value chain
- No, we do not engage

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## C12.1 - Scoring criteria

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### *Supplier Engagement Rating criteria*

Tick box selection:

a) 'Yes, our suppliers' - 1 point

Please note, a company has to indicate it engages with its suppliers in C12.1 in order to obtain an SER score higher than a D.

If a company selects multiple options including 'No, we do not engage' – 0 points

### *Point Allocation*

Disclosure numerator	Disclosure denominator
1	1

**(C12.1a) Provide details of your climate-related supplier engagement strategy.**

**Question dependencies**

This question only appears if you select “Yes, our suppliers” in response to C12.1.

**Response options**

Please complete the following table. You are able to add rows by using the “Add Row” button at the bottom of the table

Type of engagement	Details of engagement	% of suppliers by number	% total procurement spend (direct and indirect)	% Scope 3 emissions as reported in C6.5	Rationale for the coverage of your engagement	Impact of engagement, including measures of success	Comment
Select from: <ul style="list-style-type: none"> <li>● Compliance &amp; onboarding</li> <li>● Information collection (understanding supplier behavior)</li> </ul>	Select all that apply:  <b>Compliance &amp; onboarding</b> <ul style="list-style-type: none"> <li>● Included climate change in supplier selection / management mechanism</li> </ul>	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Percentage field [enter a percentage from 0-100 using a maximum of 2 decimal places]	Text field [maximum 2,400 characters]	Text field [maximum 2,400 characters]	Text field [maximum 2,400 characters]

<ul style="list-style-type: none"> <li>● Engagement &amp; incentivization (changing supplier behavior)</li> <li>● Innovation &amp; collaboration (changing markets)</li> <li>● Other, please specify</li> </ul>	<ul style="list-style-type: none"> <li>● Code of conduct featuring climate change KPIs</li> <li>● Climate change is integrated into supplier evaluation processes</li> <li>● Other, please specify</li> </ul> <p><b>Information collection (understanding supplier behavior)</b></p> <ul style="list-style-type: none"> <li>● Collect climate change and carbon information at least annually from suppliers</li> <li>● Other, please specify</li> </ul> <p><b>Engagement &amp; incentivization (changing supplier behavior)</b></p> <ul style="list-style-type: none"> <li>● Run an engagement campaign to educate suppliers about climate change</li> <li>● Climate change performance is featured in supplier awards scheme</li> <li>● Offer financial incentives for suppliers who reduce your operational emissions (Scopes 1 &amp;2)</li> <li>● Offer financial incentives for suppliers who reduce your downstream emissions (Scopes 3)</li> <li>● Offer financial incentives for suppliers who reduce your upstream emissions (Scopes 3)</li> <li>● Other, please specify</li> </ul> <p><b>Innovation &amp; collaboration (changing markets)</b></p> <ul style="list-style-type: none"> <li>● Run a campaign to encourage innovation to reduce climate impacts on products and services</li> <li>● Other, please specify</li> </ul> <p><b>Other</b></p> <ul style="list-style-type: none"> <li>● Other, please specify</li> </ul>						
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## C12.1a - Scoring criteria

### Supplier Engagement Scoring criteria

- If:
- i) Column '% of suppliers by number' is complete - 0.25 points
  - ii) Column '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' is complete - 0.25 points
  - iii) Column 'Type of engagement' is complete - 0.25 points
  - iv) Column 'Details of engagement' is complete - 0.25 points

AND

- If:
- v) 'Compliance & onboarding' has been selected in 'Type of engagement' column AND
    - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
    - b) A number higher than 0 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 2 points; OR
    - c) A number higher than 39 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 4 points
  - OR
  - vi) 'Information collection (understanding supplier behavior)' has been selected in 'Type of engagement' column AND
    - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
    - b) A number higher than 0 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 4 points; OR
    - c) A number higher than 39 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 8 points;
  - OR
  - vii) 'Engagement & incentivization (changing supplier behavior)' has been selected in 'Type of engagement' column AND
    - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
    - b) A number higher than 0 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 4 points; OR
    - c) A number higher than 39 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 8 points
  - OR
  - viii) 'Innovation & collaboration (changing markets)' has been selected in 'Type of engagement' column AND
    - a) '0' has been entered in '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 0 points; OR
    - b) a number higher than 0 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 6 points; OR
    - c) a number higher than 39 has been entered in the '% of total procurement spend (direct and indirect)' OR '% Scope 3 emissions as reported in C6.5' column - 8 points

In order to score points for criteria v) - viii), full points must have been achieved for criteria i), ii), iii) and iv).

Rows in which 'Other, please specify' has been selected in the column 'Type of engagement' will not be considered eligible for scoring.

Please note that future versions of the Supplier Engagement Rating will begin to incentivize companies to carry out multiple different forms of engagement.

### Point Allocation

Numerator	Denominator
9	9

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## Climate Change score

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### CDP Climate Change score

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(C1-C14) Points will be received based on the companies' 2019 CDP climate change score.

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## C1-C14 - Scoring criteria

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### *Supplier Engagement Rating criteria*

Points are awarded by overall 2019 CDP climate change score as follows:

A - 10 points;  
A- - 9 points;  
B - 6 points;  
B- - 5 points;  
C - 4 points;  
C- 3 points;  
D - 2 points;  
D- - 1 point;  
F - 0 points.

### *Point Allocation*

Numerator	Denominator
10	10

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## Weightings

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Each section has a specific weighting in the final SER score

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CDP questionnaire section	Weighting in the Supplier Engagement Rating
Governance	20%
Targets	15%
Scope 3 Emissions Accounting	20%
Supplier Engagement	35%
Overall CDP Climate Change performance	10%